



Finance Trading Application Form

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(Please complete the form and attach the required documents)

Trading Account No. _____ Date _____

Juristic Persons

Customer Information

Juristic Name in English : _____

Type of Juristic Person : Company Limited Public Company Ordinary Partnerships Limited Partnerships
 Juristic Person Under Specific Law _____
 Fund (Please specify) _____
Asset Management Company's Name _____
 Others (Please specify) _____

Business Type _____ Country of Resident _____

Foundation Year _____ Registration No. _____ Issued Due ____/____/____

Tax ID. No. _____

Registered Address : _____

_____ Post Code. _____ Country _____

Telephone No. _____ Fax. No. _____

Present Business Address : _____

_____ Post Code. _____

Country _____ Telephone No. _____ Fax. No. _____

Email Address _____

Authorized Person(s) :-

- | | |
|----------|----------|
| 1. _____ | 5. _____ |
| 2. _____ | 6. _____ |
| 3. _____ | 7. _____ |
| 4. _____ | 8. _____ |

Authorized Person(s) for Account Opening :-

- | | |
|----------|----------|
| 1. _____ | 3. _____ |
| 2. _____ | 4. _____ |

List of shareholders who are holding shares higher than 30% of registered capital. (Include with persons who deemed to be in the same group)

- | | | |
|----------|--------------------|-------------------|
| 1. _____ | % of Holding _____ | Nationality _____ |
| 2. _____ | % of Holding _____ | Nationality _____ |
| 3. _____ | % of Holding _____ | Nationality _____ |

List of shareholders who are holding shares higher than 50% of juristic Person as above.

_____ % of Holding _____ Nationality _____
_____ % of Holding _____ Nationality _____
_____ % of Holding _____ Nationality _____

Beneficial Owner(s) means a natural person who ultimately owns or controls a customer or a person on whose behalf a transaction is being conducted, including those persons who exercise ultimate effective control over a juristic person or legal arrangement.

Name – Familyname _____ Relationship _____ Nationality _____
() Passport Number _____

Has the entity ever been engaging in the regulations of money laundering law during in the past 3 years?

Never Has been involved in Money Laundering Activities (please specify)
_____ Year _____

Relationship related to the politics (if any) _____

Main Income _____

Source of Income (Country) _____

Do the authorized persons have the position of director or accountant of a listed company or its parent company, subsidiary, or major shareholders and spouse of such director or accountant?

No Yes (Please specify) _____

Financial Information

Financial Highlight and Status as of _____

Total Income	_____	Current Liabilities	_____
Net Profit (Net Loss)	_____	Total Liabilities	_____
Current Assets	_____	Shareholder's Equity	_____
Total Assets	_____	Registered Capital	_____

Nature of Investment

Investment Knowledge Excellent Good Fair Little None

Investment Purpose Take Profit Dividend Interest Others (Please specify) _____

Investment Experience No experience Less than 1 year 1-5 years More than 5 years

Source of Funds (more than 1 alternative)

Owner Business Employment Investment Dividend / Interest Heritage
 Saving Loan Others (please specify) _____

Source of Funds (Country) _____

Settlement for Protected Ping Transaction

Bank Name _____ Branch _____
Bank Account No. _____ Type of A/C Savings A/C Current A/C

Way of Document Dispatch (1 alternative only) By Mail By Messenger Email


Address for Document Dispatch

Present Business Address Others (Please specify) _____


Type of Account & Credit Line Request

Cash A/C Credit Line Request _____ Via Internet System
 Cash Balance A/C Credit Line Request _____ Via Internet System

I/we hereby agree that the above information are wholly completed and true. In case of any change among my/our information is needed, I/we will advise the Company in written immediately. Thereof, I/we understand, accept and shall abide the rules, regulations and conditions set out herein as well as the stipulations laid down at present or in future by the US Securities and Exchange Commission(the "SEC"), the Yangon Stock Exchange (the "YSX") Securities Depository, the Government Bureau or the Company as well as the customary practices of the YSX. Also I/we agree to the Company for any investigation and disclosure of my/our information to the related departments which regulated by the law together with the legal consultants and the Company's auditors. All this I/we understand and acknowledge that I/we can do any business activities as specified in the opened account only when I/we have completely conducted to the conditions and agreements of the Company.

Signature  _____ Applicant
(_____)

I hereby confirmed and verified that the signature and documents concerned are authenticated.

Signature  _____ Marketing Officer / The Investment Contactor
(_____)

The Company reserves the right not to return the application and all submitted materials.



Specimen Signature Card

A/c Title _____ A/c No. _____

Account Owner's Signature

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Attorney-in-fact Signature (if any)

--	--

Authorized Signer who acts on behalf (Juristic Person) – with Company's Seal

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Remarks : _____

Signature _____ Marketing Officer



Specimen Signature Card

A/c Title _____ A/c No. _____

Account Owner's Signature

--	--

Attorney-in-fact Signature (if any)

--	--

Authorized Signer who acts on behalf (Juristic Person) – with Company's Seal

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Remarks : _____

Signature _____ Marketing Officer

Document / Requirement for Finance Trading Application

(with signature for certified true copy)

JURISTIC PERSON

- Copy of Certificate of Incorporation (issued within 6 month)
- Copy of Memorandum and Articles of Association
- Copy of Affidavit of the Company with Authorization List
- Copy of Business License
- Copy of Tax ID.,VAT registration (if any) and Specific Business TAX Registration (if any)
- The Company's Board Resolution in respect of account opening and operating
- Copy of Financial Statement (within 1 year)
- Structure of Shareholders
- List of Shareholder who are holding shares higher than 30% (Included with persons who deemed to be in the same group) and list of shareholders who are holding shares higher than 50% of juristic Person as above.
- Authorized Specimen Signature Card
- Copy of Passport and House Registration of the authorized person(s)
- Duty Stamp for securities brokerage agreement

Attorney-in-Fact (if any)

- Power of Attorney
- Copy of Passport / Alien Certificate (Foreigner only)
- Copy of House Registration (at the page of which name and address are shown)
- Specimen Signature Card
- Duty stamp for each grantee on Power of attorney (In case customer has a power of a attorney)

For Kern Legal, Inc.'s Officer

I have already verified customer's documents as above which all are correct and customer's signature is the same as the specimen signature.

Signature _____ Marketing Officer
(_____)
Date _____